

# Reports of Verified Cases of Tuberculosis, Berkeley, 1993-2006

Prepared by

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#### INTRODUCTION

The purpose of this report is to describe the demographics and statistical trends of verified tuberculosis cases in Berkeley residents and the number of close, constant contacts that personnel in the Berkeley Public Health Division followed up as part of contact investigations. In addition to documenting historical patterns, this information may useful for resource planning.

#### **METHODS**

### **Data Sources**

All cases in Berkeley residents confirmed as tuberculosis by personnel in the City of Berkeley Public Health Division (PHD) were reported to the California Department of Public Health using the standardized form Report of Verified Case of Tuberculosis (RVCT) [See Appendix]. The form includes patient identifiers, demographics, diagnostic information, and initial treatment information. Follow-up forms also include initial information on drug susceptibility (Follow-up Report 1) and case completion data on conversion, duration of drug therapy, and drug susceptibility (Follow-up Report 2).

Data from all three forms were key-entered by personnel in the State's Tuberculosis Control Branch (TCB). Data were coded in consistent format starting in 1993 and the TCB provided data to the PHD as an Excel spreadsheet covering RVCTs from 1993 to July 2007. Residential zip code was included, but not the street address from the original report.

Data from contact investigations of each verified case were recorded in worksheets by PHD nursing personnel and stored in the PHD medical record archive. The worksheets were manually abstracted and contacts were counted for each Berkeley case and for each case that moved to Berkeley after being confirmed in other health jurisdictions. Data on the number of contacts for each verified case were entered into an Excel spreadsheet and joined on a unique identifier (RVCT ID) to the TCB-provided database. Non-Berkeley cases were defined as non-matches.

Berkeley population denominators used in rates were estimated by linear interpolation (1991-1999) and linear extrapolation (2001-2006) from U.S. Census data from the 1990 and 2000 censuses.<sup>1</sup>

To carry out a geospatial analysis at the level of census tract (rather than zip code), address information of cases in the TCB-provided database was identified from cases matched to data in the Confidential Morbidity Reports, 1993-2006. The match key was the first two letters of the last name and date of birth. In a similar patient population, this match key was found to have a sensitivity of 93% and positive predictive value of 99.5%.<sup>2</sup>

Statistical significance of differences in rates was assessed with chi square tests and a criterion level of p < 0.05. Confidence intervals were calculated for rates using the exact Poisson distribution. Due to sparse data, the year that the RVCT was reported to the TCB was aggregated into three time periods: 1993-1997, 1998-2002, and 2003-2006. Because data for 2007 was not complete, the time period of analysis was 1993-2006. Linear time trends were tested for statistical significance using chi square test for trend. Statistical calculations were made in STATA 10.



#### **RESULTS**

# **Demographics**

There were 142 Reports of a Verified Case of Tuberculosis (RVCTBs) in Berkeley residents from 1993 to 2006, including 3 persons who were reported dead at diagnosis (Table 1). The annual number of cases and annual average rates significantly declined in the successive time periods: from 13.3 per 100,000 in 1993-7 to 5.7 per 100,000 from 2003-6. The highest number of cases occurred in young adults (25-44 year olds), but the age-specific rate tended to increase with increasing age. In successive time periods, steep declines in the age-specific rate were found in each age group except young adults. The number and rate of male cases exceeded that of females except in the most recent time period. Asians (followed by African Americans) had the highest rates and accounted for at least one-third of all cases. Each race/ethnic group experienced steep declines in their TB rate over time, but race/ethnic disparities persisted for Asian and African Americans in the most recent time period. Asians accounted for an increasing percentage of cases over time.

Geographic concentrations of cases and high rates occurred in census tracts adjacent to the campus of the University of California, and south and west Berkeley (Table 2, Figure 1).

Immigrants from 24 different countries accounted for 72 (51%) cases (Table 3), who immigrated predominantly from Asian countries (N=40), excluding India and Pakistan (N=13), and Latin America (N=13). At least one-third of these cases had been residing in the United States  $\geq$ 5 years since immigrating. The percent of homeless decreased nonsignificantly from 19% in 1993-1997 to 4% in 2003-2006. The proportion of cases that reported alcohol use or drug use (including injected drugs) in the prior year decreased from 27% in 1993-1997 to 5% in 2003-2006 (p=0.06).

## **Interjurisdictional Transfers and Contact Investigations**

In addition to the 142 Berkeley cases, 29 cases were referred from other local health jurisdictions. For Berkeley cases and interjurisdictional transfers, 693 contacts were identified (Table 3). The distribution of contacts per case was skewed. Forty-two cases (26%) involving 6 or more contacts per case accounted for 68% (474/693) of all contacts.

# **Diagnostic and Treatment Profile**

A previous diagnosis for tuberculosis was reported in 8% of cases (Table 4). There were 6 cases (occurring from 1996 to 2001) in people with AIDS or matched to the California AIDS registry. The lungs were the most common site of infection. Approximately two-thirds had a positive sputum culture, one third had a positive sputum smear, 90% had an abnormal chest X-ray, 61% had a positive tuberculin skin test, and 18% had a positive tissue culture.

Isoniazid, rifampin, pyrazinamide, and ethambutol were each part of the initial treatment regiment in >90% of cases (Table 5). The lag time from date of diagnosis to treatment initiation was less than 1 week in approximately one-third of cases, and ≥3 weeks for approximately one-third of cases. There has been a significant decrease in isoniazid susceptibility (increased resistance) in recent years. The proportion of cases completing a therapeutic regimen was high (>81%), and not significantly different over time. Only 2% of cases were lost to follow-up. Over the successive time periods, proportionately more cases had longer (≥26 weeks) durations of chemotherapy. The proportion of cases who exclusively underwent directly observed therapy



(DOT) peaked at 50% during 1998-2002. In 2003-2006, a combination of DOT and self-administration (72%) was the predominant format of medication administration.

#### DISCUSSION

The findings in Berkeley generally mirror those of California and the United States: tuberculosis case rates have declined over the past 10 years, and racial and ethnic minorities and the foreign-born bear a disproportionate burden of the disease. Salient observations in the Berkeley population include an apparent decrease in homelessness and alcohol/drug use in TB cases. Resistance to isoniazid appears to have increased over the study period. Contact tracing is a labor-intensive activity: the 142 verified cases involved nearly 700 additional contacts and follow-up. The proportion of cases that complete therapy has been high over the years studied, but the therapeutic regimen in recent years is increasingly longer and increasingly combines self-administration with directly observed therapy.

Analyses of the clinical results of contact investigations was hampered by the lack of automated data collection forms that provide individual-level (disaggregated) information. This obstacle can be overcome by creating a standardized data collection tool. In 2007, such a tool was piloted for a contact investigation at a Berkeley preschool. Extending this tool to other types of contact investigations will allow the Public Health Division have a robust response to TB contact investigations in a variety of settings, and strengthen infrastructure for other communicable disease reporting.

The main limitation of this study was that tuberculosis is a relative rare disease in the Berkeley population, and data over many years must be pooled to provide statistically stable estimates for subgroup analyses. Although a high proportion (133/142) of RVCTs were matched to cases in the Confidential Morbidity Reports (CMR), approximately 20% of cases could not be geocoded due to missing address information in the CMR. Although zip code was available for 100% of cases, it lacks specificity compared to the census tract.

#### RECOMMENDATIONS

- Automating data collection using a database housed at the PHD will enhance the ability of staff to follow-up cases and contacts, and to generate aggregate statistics in a timely manner. A database can also be used to automate the time-consuming manual process of reporting statistical data to the State's Tuberculosis Control Branch.
- Algorithms and follow-up protocols should be finalized for TB. This will facilitate a standardized approach to case and contact investigations, and enhance consistency and quality.
- Contact investigations and directly observed therapy are highly repetitive, labor intensive activities. The PHD should consider the role of non-nurse personnel for aspects of disease investigations and directly observed therapy.



#### **ACKNOWLEDGEMENTS**

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Table 1 – Demographic Characteristics of Verified Cases of Tuberculosis, Berkeley, 1993-2006

		Tota	I			3-1997			3-2002	2	2003-2	2006
Item	N	%	Rate*	N	%	Rate (95% CI) <sup>†</sup>	N	%	Rate	N	%	Rate
Total				67	47	13.3#	51	36	9.9	24	17	5.7
						(10.3-16.9)			(7.4-13.1)			(3.7-8.6)
1993	12	8	12			_						
1994	8	6	8			_						
1995	14	10	14									
1996	13	9	13			_						
1997	20	14	20			_						
1998	7	5	7									
1999	17	12	17			_						
2000	4	3	4			_			_			
2001	12	8	12									
2002	11	8	11			_			_	_ 4		
2003	5	4	5			_			_			
2004	4	3	4									
2005	10	7	10			_			_			
2006	5	4	5									
Age (Years)												
0–14	8	6	5	5	7	8	3	6	5	0	0	0
15–24	26	18	8	15	22	12	6	12	5	5	21	5
25–44	50	35	11	21	31	12	17	33	10	12	50	10
45–64	33	23	10	12	18	12	15	29	13	6	25	6
65+	25	18	17	14	21	26	10	20	19	1	4	2
Sex												
Male	86	61	12	38	57	15	36	71	14	12	50	6
Female	56	39	8	29	43	11	15	29	6	12	50	6
Ethnicity												
African Am.	55	39	28	28	42	35	19	37	28	8	33	18
Asian/P.I.	54	38	70	22	33	91	21	41	75	11	46	43
Latino	15	11	11	7	11	16	6	12	12	2	8	5
Other	2	1	1	1	2	1	1	2	1	0	Ö	0
White	15	11	2	8	12	3	4	8	1	3	13	1
* Rate per 10				_					-			

Note: Gray-shaded area indicates numbers too small to provide statistically reliable estimates



<sup>\*</sup> Rate per 100,000 † Poisson exact 95% confidence intervals # Significant linear time trend 1993-2006

**Table 2** – Number of Cases and Rate of Verified Cases of Tuberculosis by Census Tract, Berkeley, 1998-2002

		Rate per
Census Tract	N	100,000
4211	0	0
4212	1	5
4213	0	0
4214	0	0
4215	1	5
4216	0	0
4217	3	20
4218	0	0
4219	3	16
4220	1	15
4221	2	15
4222	0	0
4223	0	0
4224	0	0
4225	2	11
4226*	0	0
4227	0	0
4228	1	4
4229	3	25
4230	0	0
4231	1	5
4232	1	7
4233	0	0
4234	2	9
4235	1	7
4236	1	3
4237	2	14
4238	1	6
4239	2	11
4240 * Consolidad of Universe	4	14

<sup>\*</sup> Campus of University of California, Berkeley



Table 3 – Demographic Characteristics of Verified Cases of Tuberculosis, Berkeley, 1993-2006

Table 3 - Demogra		ital		-1997	1998-		2003-2	
Item	N	%	N	%	N	%	N	%
Country of Origin								
US Not US China Philippines	70 72 10 9		37 30	55 45	23 28	45 55	10 14	42 58
Mexico India Pakistan Nepal Vietnam Hong Kong South Korea Kenya Mongolia	9 8 5 4 4 3 3 2 2							
13 others*	13							
Date of Entry to Dia	gnosis							
<1 year 1-4.9 5-9.9 10+	15 21 12 22	30 17	10 10 5 5	33 33 17 17	1 8 7 10	4 31 27 38	4 3 0 7	29 21 0 50
	res 22 No 118		13 54	19 81	8 41	16 84	1 23	4 96
Drug Use: Ye		22 78	17 46	27 73	11 35	24 76	1 20	5 95
Total contacts Berkeley Non-Berkeley	693 635 58		295 267 28		305 276 29		93 92 1	
Number of Contact 0 1 2 3 4 5	31 27 25 19 10 9		19 10 13 11 3 5		5 12 8 4 5 3		7 5 4 4 2 1	
6+	42		17	_	20		5	
Number of Contact (non-Berkeley)								
0 1 2 3	9 4 3 5		3 3 2 2		4 0 1 3		2 1 0 0	
* Thorowas 1 case	5		2		3	=	0	

<sup>\*</sup> There was 1 case each in Somalia, Indonesia, Japan, Turkey, Portugal, Romania, Spain, Chile, Guatemala, Honduras, Cambodia, and Thailand



Table 4 – Diagnostic Information of Verified Cases of Tuberculosis, Berkeley, 1993-2006

Item	To		1993-		1998-		2003-	2006
	N	%	N	%	N	%	N	%
Previous Diagnosis								
Yes	12	8	4	6	7	14	1	4
No	129	91	63	94	43	84	23	96
Major Site		_		_				
Pulmonary	119	84	55	82	45	88	19	79
Pleural	6	4	2	3	2	4	2	8
Lymphatic, Cervical	4	6	4	6	0	0	0	0
All Other	13	6	6	9	4	8	3	13
Sputum Culture				_				_
Positive	94	66	41	61	37	73	16	67
Negative	25	18	12	18	7	14	6	25
Not Done	23	16	14	21	7	14	2	8
Sputum Smear		_						
Positive	52	37	21	31	20	39	11	46
Negative	69	49	34	51	24	47	11	46
Unknown	21	15	12	18	7	14	2	8
Chest X-Ray				_				
Normal	14	10	9	13	3	6	2	8
Abnormal	128	90	58	87	48	94	22	92
Tuberculin Skin Test								
Positive	87	61	46	69	27	53	14	68
Negative	10	7	7	10	3	6	0	0
Unknown	3	2	0	0	1	2	2	8
Culture of Tissue								
Positive	26	18	13	19	10	20	3	13
Negative	13	9	6	9	4	8	3	13
Not Done	101	71	48	72	36	71	17	71
Unknown	2	1	0	0	1	2	1	4



Table 5 – Treatment Information of Verified Cases of Tuberculosis, Berkeley, 1993-2006

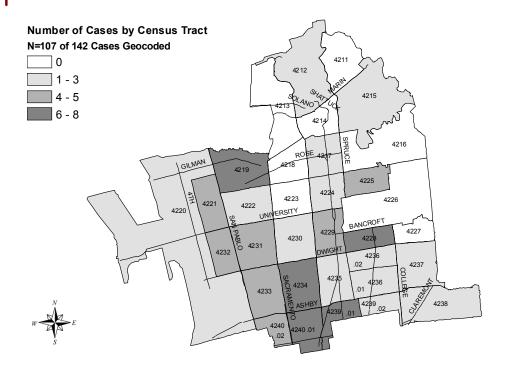
Table 5 – Treatment Information of								
Item	Tota		1993-19		1998-20		2003-2	
	N	%	N	%	Ν	%	Ν	%
Initial Drug Regimen								
Isoniazid	138	97	67	100	48	94	23	96
Rifampin	133	94	64	96	46	90	23	96
Pyrazinamide	133	94	62	93	48	94	24	100
Ethambutol	125	88	54	81	47	92	24	100
Streptomycin	2	1	1	1	1	2	0	0
Others	2	1	1	1	1	2	0	0
Date of Diagnosis to								
Treatment								
<1 week	46	33	24	36	16	33	6	25
1 week	16	12	5	7	7	15	4	17
2 weeks	33	24	18	27	9	19	6	25
3 weeks	29	21	14	21	8	17	7	29
4+ weeks	15	11	6	9	8	17	1	4
4. WCCRO	10		0		Ü	- ''	•	
Susceptibility to Drug*								
Isoniazid	101	90	48	94	41	95	12	67
Rifampin	110	98	51	100	42	98	17	94
Pyrazinamide	93	83	35	69	41	95	17	94
Ethambutol	108	96	51	100	41	95	16	89
Streptomycin	75	69	39	76	30	70	6	33
Treatment Status								
Completed	118	87	57	85	44	92	17	81
Moved	6	4	2	3	2	4	2	9
Lost to follow-up	3	2	2	3	0	0	1	5
Died	9	7	6	9	2	4	1	5
Wooks of Thorany								
Weeks of Therapy 0	60	42	35	52 <sup>#</sup>	21	41	4	17
1-25	34	24		30			4	
	34 48	34	20		10	20 39	16	17
26-56	48	34	12	18	20	39	16	66
Type of Therapy				щ				
Self-Administered	54	40	35	52 <sup>#</sup>	16	33	3	14
DOT Exclusively	45	33	18	27	24	50	3	14
DOT and Self-Administered	37	27	14	21	8	17	15	72

<sup>\*</sup> No cases of reported use of ethionamide, kanamycin, cycloserine, capreomycin, para-amino salicylic acid, amikacin, rifabutin, ciprofloxacin, ofloxacin

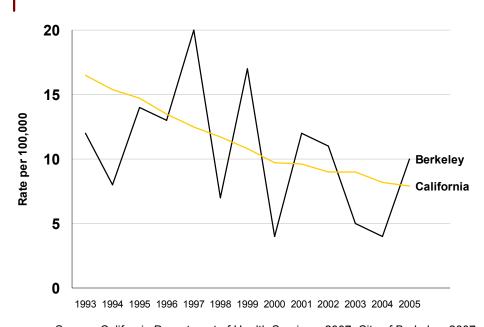


<sup>#</sup> Percentages are significantly different (p < 0.05)

Figure 1. Verified TB Cases by Census Tract, Berkeley, 1993-2006



**Figure 2.** Tuberculosis Case Rates per 100,000, Berkeley and California, 1993-2005



Source: California Department of Health Services, 2007; City of Berkeley, 2007



# Appendix

State of California—Health and Human Services Agency

Department of Health Services

U. S. Department of Health and Human Services Public Health Service Centers for Disease Control and Prevention (CDC) Atlanta, Georgia 30333

# REPORT OF VERIFIED CASE OF TUBERCULOSIS

Patient name (ket) (first)	(M.L.) Address (num	ber, street)			City			State	2	IP code
SOUNDEX	State reporting				2. State cas					
	Specify:			_	number	٠				
		$\overline{}$			City/cour	tv [	$\overline{}$	$\overline{}$		
	Alpha state code				case nun	iber				
3. Date submitted	By:		4. A	ddress fo	or case counting	1				
				Г						$\neg \neg$
Month Day Year				City						
				V	Vithin city limits	1 🗌 Y	es 2	No		
5. Date reported	6. Date counted			ounty						$\Box$
Month Day Year	Month Day	Year		L						
			$\neg$ $\Box$		ZIP co	de			I−∏	
			_			ш			ш	
7. Date of birth	8. Sex	<ol><li>Race (select</li></ol>	one or more)							
Month Day Year	1 Male	1 America	an Indian or Alask	an Nativ	e 4			lan or P	acific Isla	nder
	2 Female	2 Asian (	specify):		=	(spe	c/(y):			
	9 Unknown	3 Black o	r African America	n	9	Unkn	own			
10. Ethnicity (select one)	11. Country of origin			12. N	//onth/year arriv	ed in U.S	3.			ignosis of TB
1 Hispanic or Latino	U.S.			Ι,	Month	Year	—, І		Alive	
2 Not Hispanic or Latino	Not U.S. (specify	country):							Dead	
9 Unknown	Unknown			<u> </u>				9	Unkno	wn
14. Previous diagnosis of fuberculosis	15. Major site of disease	•			50 MI	lary				
1 Yes	00 Pulmonary	23	Lymphatic: Of	ther	60 Me	ningeal			is "Other, natomic	
2 No	10 Pieural	29	Lymphatic: Ur	nknown	70 Pe	ritoneal			see list)	
9 Unknown	21 Lymphatic: O	ervical 30	Bone and/or )	oint	80 Ot	her"				
Year	22 Lymphatic: In		Genitourinary		90 Sit		-			
If yes, list year of	22 _ Lymphauc. III	dayloracic 40	Genicumary		30 _ 01	e not sua	icu			
previous diagnosis	16. Additional site of dis	ease								
	00 Pulmonary	23	Lymphatic: Of	ther	50 MI	lary		"If site	is "Other, natomic	-
1 If more than one previous	10 Pieural	29	Lymphatic: Ur	nknown	60 Me	ningeal			see list)	
episode, check here	21 Uymphatic: C		Bone and/or)		70 Pe			W	than one	
								addition	nai site,	
	22 Lymphatic: In	trathoracic 40	Genitourinary		80 Ot	her"		check I	here.	88
17. Sputum smear	18. Sputum culture		19. Microscopic	exam of	tissue and othe	r body flu	ılds			$\neg$
1 Positive 3 Not done	1 Positive 3	Not done	1 Positive		3 N	at done		If positi	tive, enter	<u>,                                    </u>
								(see Its		″
2 Negative 9 Unknown	2 Negative 9	Unknown	2 Negativ	ve .	9 0	nknown				
20. Culture of tissue and other body fluids			21. Chest X-ray							
1 Positive 3 Not done	if positive, enter anatomic code(s		1 Normal	. 2	Abnormal	3 🗆 N	lot done		9 Uni	known
2 Negative 9 Unknown	(see list)		if abnormal		Cavitary		ioncavit		3 Nor	
□ Negative 5 Outstown			(check one)	1	Cartaly		oncavio consister			rcavitary f consistent
22. Tuberculin (Mantoux) skin test at diagnosis						V	vith TB		wit	h TB
1 Positive 3 Not done	Millimeters (mm) of Induration	· [[]]	If absenced		Chable	2				
2 Negative 9 Unknown			if abnormal (check one)	1	Stable	5   If	mproving	,		
			,	2	Worsening	9 U	inknown			
If Negative, was patient anergic 1	Yes 2 No 9	Unknown								
information contained on this form which would surveillance purposes, and will not be disclosed										

DHS 8820 A (1/03)



State of Colifornia —Hooffe and Human Services Agency Department of Health Services L. 5. Department of Health and Human Services Public Health Schalbs Centers for Disease Control and Prevention (COC) Alleria. Compan 2023. REPORT OF VERIFIED CASE OF TUBERCULOSIS Initial Drug Susceptibility Report (Follow-Up Report-1) SOUNDEX State reporting Specify. Oity/county Alpha state code Submit this report for all culture-positive cases. 33. Initial Drug Susceptibility Results Was drug susceptibility testing cone? a ☐ Unknown If enswer is no or unknown, rin not complete rest of report. Month Da. Year If yes, enter date first solate collected for which drug susceptibility was done 34. Susceptibility Results Resistant Susceptible Not Done Unknown 1 Isoniazid 2 9 Rifamph 1 3 🗌 2 9 1 🗌 211 9 Ethambutel 1 🗆 2 р□ 2 τ□ Streptomycin 9 3 | | 2 2 Kanamycin \*[] 3 6 Cycloserine 111 2 🗌 9 Capreomycin 111 2 3 9 Para-Amino Safeyetic Add 1 2 3 8 Amikacin 3 2 Rifabutin 2 э 🗌 Ciproflexacin 2 э 🗌 9 Ofloxacin ٠. з 🔲 2 9 1 Other 2 3 9 Comments



DHS 8820 b (HND)

CENTERS FOR DISEASE COMPROS AND PREVENDED		REPOR	T OF V	ERIFIED (	CASE OF TUBER		U.S. Department Public Health Sw Certars for Dake Alliano, Georgia S	word se Control and I 31153	Prevention (COC
Case Completion Re	port	794.1	TAN	ardain admit)		City			Report—2
Assets Dame (Sect.)	trint;	794.1	Achineae (no	ardsa struct		Lity	-	210	21- 0016
SOUNDEX State	reporting				Year courted	State pase number	Ш	Ш	П
Sanding had been	state code					Ditytodunty page number		Ш	
35. Soutum culture convers	sian docume	ented			e specimen collected ositive sputum culture	If '	es, date spe	cimen pollec	ded on
				Month	Day Year		lorth Day	Yes	
p Na t □ Y	r'es g	Unknow	ra:	MUTEL	Jay Ilas	i r	T T		
		Lar	Constant No.	الساسا					
36. Date therapy stopped		37,		erapy stopped	27		-		=2
Month Day	Year			leted thereby	3 Lost			Not TB 7	_
			2 Move		4 Uncos	perative or rotus	ed B	Ded 9	Unknow
36. Type of health care pro	ov der	39.		served therapy	r > If yes	give site(s) of a	irectly observ	red therapy	
1 Health departmen			al No to	cally self-admir	istened 1 1	In clinic or other	facility		
2 Private/other				otally directly o		In the field			
	20101201		1000			Both in facility as	area meneral		
<ul> <li>Both health depart and private/other</li> </ul>	cinerc		2 Yes, t and	oth directly obj self-acminister	10		our mened		
			B Unkoo	owo.	9	Unknown			Weeks
					Numb	ser of weeks of o	irectly observ	ned therapy.	
40. Final drug susceptibility	y results								
40. Final drug susceptibility Was follow-up drug sus		esting dare	i i	If yes	enter date final isolate col	lected for which	drug suscept	ibility was d	one.
				If yes		liected for which	drug suscept	iblity was d	one.
Was follow-up drug sus	sceptibility te	(nown		If yes			drug suscept	ibility was do	one.
Westonow-up drug sus □ No 1 _ Yes - Wino or Linkenown, do o	sceptibility te	(nown		If yes			drug suscept	ibility was d	one:
Was follow-up drug sus	sceptbility te  #   Unk  or complete	(nown	đ.	If yes		ear 	drug suscept		
Was forow-up drug sus □ □ No 1 □ Yes 	sceptbility te  #   Unk  or complete	cnown rest of repo	đ.	[		ear 			
Was toriow-up drug sus  □ No 1  Yes  // no or unknown, do no  41. Final ausceptibility resu	sceptbility te  # [] Unk  of complete  ills  Resistant	cnown rest of repo Susceptible	nt Not Done	Unksown	Month Day Y	Resister 1	it Susceptibl	o Mot Done	Unicopwo
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