INTRODUCTION

Note: Some instructions and definitions can be found in the column headers of the tables. (Cells with red marks)

Government Code section 65400 requires that each city, county, or city and county, including charter cities, prepare an annual progress report (APR) on the status of the housing element of its general plan and progress in its implementation, using forms and definitions adopted by the Department of Housing and Community Development (HCD). The following form is to be used for satisfying the reporting requirements of Government These forms and instructions, originally adopted March 27, 2010, have been updated to incorporate new Housing Element APR requirements pursuant to Chapter 374, Statutes of 2017 (Assembly Bill 879); Chapter 366, Statutes of 2017 (Senate Bill 35), Chapter 664, Statutes of 2019 (Assembly Bill 1486), Chapter 159, Statutes of 2019 (Assembly Bill 101), Chapter 661, Statutes of 2020 (Assembly Bill 1255), Chapter 15, and Statutes of 2020

How to submit the Housing Element Annual Progress Report (APR)

The APR must be submitted to the Department of Housing and Community Development (HCD) and the Governor’s Office of Planning and Research (OPR) on or before April 1 of each year using the forms and tables specified by HCD. The APR provides information for the previous calendar year and must be submitted separately to both HCD and OPR (Gov. Code, § 65400.). There are two methods available for submitting APRs:

1. Online Annual Progress Reporting System - This allows jurisdictions to upload directly into HCD’s database, limiting the risk of errors. To use the online system, email APR@hcd.ca.gov, and request login information for your jurisdiction. Please note: Using the online system only provides the information to HCD. The APR must still be submitted to OPR. Their email address is opr.apr@opr.ca.gov.

2. Email - Jurisdictions complete the Excel APR forms and submit to HCD at APR@hcd.ca.gov and to OPR at opr.apr@opr.ca.gov. When using the email method, send the electronic version as an Excel workbook attachment. Do not send a scanned copy of the tables. In addition to submitting Housing Element APRs, jurisdictions must also submit General Plan Annual Progress Reports to both HCD and OPR. Please email these documents to APR@hcd.ca.gov, and apr.apr@opr.ca.gov.

NOTE: When submitting successor entity reporting data as required pursuant to California Health and Safety Code 34176.1, the data must be identified as an addendum to the APR and emailed to APR@hcd.ca.gov concurrently with the APR submittal. When using the online system, this report should be sent separately to the APR email box to satisfy the Government Code section 65400 reporting requirement.

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DEFINITIONS

1. “Above moderate income” means households earning more than 120 percent of area median income.
2. Annual progress report (APR) means the housing element annual progress report required by Government Code section 65400 and due to HCD by April 1 of each year reporting on the prior calendar year’s activities.
3. Application submitted means an application submitted that has been determined complete by the jurisdiction.
4. “Area Median Income (AMI)” means the median household income based on household size of a geographic area of the state, as annually updated by the California Department of Housing and Community Development (HCD), pursuant to Health and Safety Code section 50093.
5. “Certificate of occupancy date” is the date(s) the certificate(s) of occupancy, or other evidence of readiness for occupancy (e.g., final inspection, notice of completion), was/were issued.
6. “Committed Assistance” is when a local government has entered into a legally enforceable agreement within a specific timeframe spanning from the beginning of the RHNA projection and may be executed throughout the planning period. Committed Assistance includes obligating funds or other in-kind services for affordable units available for occupancy within two years of the agreement.
7. “Completed entitlement” means a housing development or project which has received all the required land use approvals or entitlements necessary for the issuance of a building permit. This means that there is no additional action required to be eligible to apply and obtain a building permit.
9. “Extremely low-income” means a household earning less than 30 percent of area median income pursuant to Health and Safety Code, section 50105.
10. Housing unit is defined as being a unit located within an urbanized area or within an urban cluster on a site that has been previously developed for urban uses, or a vacant site where the properties adjoining at least two sides of the project site are, or previously have been, developed for urban uses. For the purposes of this definition, an urbanized area or an urban cluster is as defined by the United States Census Bureau.
11. “Locality” or “local government” means a city, including a charter city, a county, including a charter county, or a city and county, including a charter city and county.
12. “Lower-income or Low-Income” means a household earning less than 80 percent of area median income pursuant to Health and Safety Code, section 50079.5.
13. “Moderate income” means households whose income does not exceed 120 percent of area median income pursuant to Health and Safety Code, section 50093.
14. Permitted units means units for which building permits for new housing construction have been issued by the local government during the reporting calendar year. For this purpose, “new housing unit” means housing units as defined by the Department of Finance for inclusion in the Department of Finance’s annual “E-5 City/County Population and Housing Estimates” report, which is the same as the Census definition of a housing unit.

Note: Accessory dwelling units (ADU) and junior accessory dwelling units (JADU) pursuant to Government Code sections 65852.2 and 65852.22 meet the definition above.
15. “Production report” or “Annual Progress Report (APR)” means the information reported pursuant to subparagraph (D) of paragraph (2) of subdivision (a) of Section 65400 of Government Code.

16. Project of Development refers to a housing related activity where new construction of a unit(s) is proposed or has had a building permit and/or certificate of occupancy issued during the reporting calendar year. This may include single family, mixed use, multifamily, accessory dwelling unit, or any other developments where housing units, as defined by the U.S. Census Bureau and the California Department of Finance, are a component of the project.

17. “Realistic Capacity” means an estimate of the number of units that can be accommodated on each site in the inventory. The estimate must include adjustments to reflect land use controls and site improvement requirements but may rely on established minimum density standards.

18. Reporting period means the prior calendar year’s activities for the housing element annual progress report required by Government Code section 65400 and due to HCD by April 1 of each year and utilized to create the determination for which locality is subject to the Streamlined Ministerial Approval (SB35 Streamlining) Provisions.

19. “RHNA” means the local government’s share of the regional housing need allocation pursuant to Government Code section 65584 et seq.

20. Unit Category: type of units that are classified under the following categories:

   - Single Family-Detached Unit (SFD) - a one-unit structure with open space on all four sides. The unit often possesses an attached garage.
   - Single Family-Attached Unit (SFA) - a one-unit structure attached to another unit by a common wall, commonly referred to as a townhouse, half-plex, or row house. The shared wall or walls extend from the foundation to the roof with adjoining units to form a property line. Each unit has individual heating and plumbing.
   - 2-, 3-, and 4-Plex Units per Structure (2-4) - a structure containing two, three, or four units and not classified as single-unit attached structure.
   - 5 or More Units per Structure (5+) - a structure containing five or more housing units.
   - Accessory Dwelling Unit (ADU) - means a unit that is attached, detached or located within the living area of the existing dwelling or residential dwelling unit which provides complete independent living facilities for one or more persons. It shall include permanent provisions for living, sleeping, eating, cooking, and sanitation on the same parcel on which the single-family dwelling is situated pursuant to Government Code section 65852.2. An ADU also includes the following: an efficiency unit, as defined in Section 17958.1 of the Health and Safety Code or a manufactured home, as defined in Section 18007 of the Health and Safety Code.
   - Mobile Home Unit/Manufactured Home – a one-unit structure that was originally constructed to be towed on its own chassis. Please note: Spaces in a mobile home park can be counted towards RHNA, if the spaces counted are new hook-ups/spaces rather than new mobile home park residents moving onto existing lots.


AUTHORITY CITED: Government Code section 65400.

FORM INSTRUCTIONS

GENERAL INFORMATION

Fields in gray auto-populate. No data entry is needed.

Some of the cells are locked to ensure data can be automatically uploaded to the online system.

Tables A and A2 of the worksheet are currently configured to accept up to 1,000 lines of data. Insert rows if Projects are now tracked at all stages of development, from initial application to final certificate of occupancy.

All dates must be entered as month/date/year (e.g., 6/1/2018).

The form works best with macros enabled in Excel.
Begin with the “Start Here” tab, as previous years’ information will pre-populate in Table B after the jurisdiction’s name is entered.

**START HERE**

Enter general contact and report information in the “Start Here” tab.

It is important to start with this worksheet because the answers entered will affect how information is displayed (e.g. permit numbers from prior years are pre-populated when jurisdiction’s name is entered).

Information to enter includes:
- City or County name
- Reporting calendar year (e.g., 2019). *Please note: The reporting year will always be from January 1 – December 31 of the previous year.*
- Contact person
- Title
- Email
- Phone
- Mailing address

This sheet includes instructions regarding submitting the Housing Element APR to HCD and OPR.

**TABLE A**

**Housing Development Applications Submitted**

Only include data on housing units and developments for which an application was deemed complete between January 1st and December 31st of the reporting year identified on the “Start Here” tab. In table A, an “application” is a formal submittal of a project for approval. This application is either an application for a discretionary entitlement, or where only a ministerial process is required (e.g., zoned by right).

1. **Project Identifier:** Include the Current Assessor Parcel Number (APN) and street address. The Prior APN, Project Name and Local Jurisdiction Tracking ID are optional.
   - Prior APN – Enter an APN previously associated with the parcel, if applicable (optional field).
   - Current APN – Enter the current available APN. If necessary, enter additional APNs in the notes section field.
   - Street Address – Enter the number and name of street.
   - Project Name – Enter the project name, if available (optional field).
   - Local Jurisdiction Tracking ID – This may be the permit number or other identifier (optional field).

2. **Unit Types:** Each development should be categorized by one of the following codes. Refer to “Unit Category” in the Definitions section for additional descriptions. Use the drop-down menu to select one of the following:
   - SFA (single-family attached unit)
   - SFD (single-family detached unit)
   - 2-4 (two- to four-unit structures)
   - 5+ (five or more unit structure, multifamily)
   - ADU (accessory dwelling unit)
   - MH (mobile home/manufactured home)
3. **Tenure**: Identify whether the units within the development project are either proposed or planned at initial occupancy for either renters or owners. Use the drop-down menu to select one of the following options:

- Renter occupant (R) or
- Owner occupant (O)

4. **Date Application Submitted**: Enter the date the housing development application was submitted. If the application was incomplete at the time of submittal, enter the date the application was determined complete by the local government (refer to “application submitted” under definitions). Enter date as month/day/year (e.g.,

5. **Proposed Units Affordability by Household Incomes**: For each development, list the number of units proposed in the application by affordability level and whether the units are deed restricted or non-deed restricted. Refer to the Definitions section for additional descriptions:

- Very low-income households: 0-50% AMI
- Low-income households: 50-80% AMI
- Moderate-income households: 80-120% AMI
- Above-moderate households: above 120%

To verify income levels, refer to the income limit charts on HCD’s website at http://www.hcd.ca.gov/grants-funding/income-limits/state-and-federal-income-limits.shtml (see section for Official State Income)

6. **Total Proposed Units by Project**: This field auto-populates with the total number of units proposed, as entered in #5 (total of deed restricted & non-deed restricted units for Very Low-, Low-, Moderate- and Above

7. **Total Approved Units by Project**: Enter the number of units that the jurisdiction approved for this project

8. **Total Disapproved Units by Project**: If the project is denied or total number of units is reduced, please enter the number of units denied or reduced. This value should equal Total Proposed Units by Project minus “Total Approved Units by Project.”

9. **Was “Application Submitted” pursuant to Government Code section 65913.4, subdivision (b) (Streamlined Ministerial Approval Process (SB 35 Streamlining))?** Use the drop-down menu to select one of the following

- No
- Yes – But no action taken
- Yes – Approved
- Yes – Denied

10. **Was a Density Bonus application received for this project?** Answer yes or no.

11. **Was the Density Bonus application approved for this project?** Answer yes or no.

12. **Please indicate the status of the application.** Use the drop-down to select one of the following options:

- Approved
- Pending
- Disapproved

13. **Notes**: Use this field to enter any applicable notes about the project or development. Completion of this field

<table>
<thead>
<tr>
<th>TABLE A2</th>
</tr>
</thead>
</table>

**Annual Building Activity Report Summary – New Construction, Entitled, Permits and Completed Units**

*Fields 1 through 15 Housing Development Information*
This table requires information for very low, low, moderate and above moderate income housing affordability categories and for mixed-income projects. Include data on net new housing units and developments that have received any one of the following:

- An entitlement
- A building permit
- A certificate of occupancy or other form of readiness that was issued during the reporting year.

Please note: Only building permits are used for the purposes of determining progress towards RHNA (fields 7, 8 and 9 of this table, described below).

New housing units: For the APR, “new housing unit” means housing units as defined by the Department of Finance for inclusion in the Department of Finance’s annual “E-5 City/County Population and Housing Estimates” report, which is the same as the census definition of a housing unit.

Development activity spanning multiple years: It is highly likely that the same project will be reported in multiple years of APRs. For example, a project should be listed in three separate APRs if it is entitled in one year, receives the building permit next year, and the certificate of occupancy in the year following.

In scenarios where development activity spans multiple years, the jurisdiction should only report activity that occurred within the reporting year. For example, if a project received building permits in 2018, but received entitlements in 2017, the 2018 APR should only report the building permit information (fields 7, 8 and 9), and Separate living quarters: A house, an apartment, a mobile home, a group of rooms, or a single room occupied as separate living quarters, or if vacant, intended for occupancy as separate living quarters. Separate living quarters are those in which the occupants live separately from any other individuals in the building and which have direct access from outside the building or through a common hall. For vacant units, the criteria of separateness and direct access are applied to the intended occupants whenever possible.

Please note: Group quarters facilities, such dormitories, student housing, bunkhouses, and barracks cannot be counted as housing units.

Net new units: If a building is being demolished to build the new units, the APR should report net new units. For example, if 10 units are being demolished on a site to build a 100-unit building, the APR should report 100 new units and 10 units in the demolished/destroyed column. In the case of new construction where fewer units are being built than were there previously, do not report negative permits.

To assist in reporting demolished/destroyed units, refer to section number 20 below (Table A2, column 20).

All new unit information is to be listed in the following fields:

Fields 1 through 3 – Project Identifier and Unit Types

1. Project Identifier: Include the Current Assessor Parcel Number (APN) and street address. The prior APN, project name or local jurisdiction tracking ID are optional.
   - Prior APN – Enter an APN previously associated with the parcel, if applicable (optional field).
   - Current APN – Enter the current available APN. This field allows a maximum of 40 characters. If necessary enter additional APNs in the notes section field number 21.
   - Street Address – Enter the number and name of street.
   - Project Name – Enter the project name, if available (optional field).
   - Local Jurisdiction Tracking ID – This may be the permit number or other identifier (optional field).

2. Unit Category Codes: Each development should be categorized by one of the following codes: Refer to “Unit Category” in the Definitions section for additional descriptions. Use the drop-down menu to select one of the:
   - SFA (single-family attached unit)
   - SFD (single-family detached unit)
   - 2-4 (two- to four-unit structures)
   - 5+ (five or more unit structure, multifamily)
   - ADU (accessory dwelling unit)
   - MH (mobile home/manufactured home)
3. Tenure: Identify whether the units within the development project are either proposed or planned at initial occupancy for either renters or owners. Use the drop-down menu to select one of the following options:
   - Renter occupant (R) or
   - Owner occupant (O)

Fields 4 through 6 – Completed Entitlement
4. Affordability by Household Income – Completed Entitlement: For each development, list the number of units that have been issued a completed entitlement during the reporting year by affordability level and whether the units are deed restricted or non-deed restricted. Refer to the Definitions section for additional descriptions:
   - Very low-income households: 0-50% AMI
   - Low-income households: 50-80% AMI
   - Moderate-income households: 80-120% AMI
   - Above-moderate households: above 120%

To verify income levels, refer to the income limit charts on HCDs website at http://www.hcd.ca.gov/grants-funding/income-limits/state-and-federal-income-limits.shtml (see section for Official State Income).

5. Entitlement Date Approved: Enter the date within the reporting year that all required land use approvals or entitlements were issued by the jurisdiction; leave blank if entitlement was approved outside the reporting year. Enter date as month/day/year (e.g., 6/1/2018). Refer to definition of “Completed Entitlement.”

6. # of Units Issued Entitlements: This is an auto-populated field. This field reflects the total number of units that were entitled for very-low, low, moderate, and above moderate income, as entered in field 4 on this table.

Fields 7 through 9 – Building Permit
7. Affordability by Household Income – Building Permits: For each development, list the number of units that have been issued a building permit during the reporting year by affordability level and whether the units are deed restricted or non-deed restricted. Refer to the Definitions section for additional descriptions:
   - Very low-income households: 0-50% AMI
   - Low-income households: 50-80% AMI
   - Moderate-income households: 80-120% AMI
   - Above-moderate households: above 120%

To verify income levels, refer to the income limit charts on HCDs website at http://www.hcd.ca.gov/grants-funding/income-limits/state-and-federal-income-limits.shtml (see section for Official State Income).

8. Building Permits Date Issued: Enter the date within the reporting year that the building permit was issued by the jurisdiction; leave blank if building permit was issued outside the reporting year. Enter date as month/day/year (e.g., 6/1/2018). Refer to definition of “Permitted Units.”

9. # of Units Issued Building Permits: This is an auto-populated field. This field will sum units that were permitted for very-low, low, moderate, and above moderate income, as entered in field 7 on this table.

Fields 10 through 12 – Certificates of Occupancy
10. Affordability by Household Income – Certificates of Occupancy: For each development, list the number of units that issued certificates of occupancy or other form of readiness (e.g., final inspection, notice of completion) during the reporting year by affordability level and whether the units are deed restricted or non-deed restricted. Refer to the Definitions section for additional descriptions:
    - Very low-income households: 0-50% AMI
    - Low-income households: 50-80% AMI
    - Moderate-income households: 80-120% AMI
    - Above-moderate households: above 120%

To verify income levels, refer to the income limit charts on HCDs website at http://www.hcd.ca.gov/grants-funding/income-limits/state-and-federal-income-limits.shtml (see section for Official State Income).
11. **Certificates of Occupancy (or other forms of Readiness) Date Issued:** Enter the date the certificate of occupancy or other form of readiness (e.g., final inspection, notice of completion) was issued for the project. For most jurisdictions, this is the final step before residents can occupy the unit. Leave blank if certificate of occupancy was not issued in the reporting year. Enter date as month/day/year (e.g., 6/1/2018).

12. **# of Units Issued Certificates of Occupancy or other forms of Readiness:** This is an auto-populated field. This field will sum units that were issued a certificate of occupancy for very-low, low, moderate, and above moderate income, as entered in field 10 on this table.

13. **How many of the Units were Extremely-Low Income Units (Optional):** To gain a greater understanding of the level of building activity to meet the needs of extremely low-income households in the state, HCD asks that you estimate, to the extent possible, the number of units affordable to extremely-low income households. This number will be a subset of the number of units affordable to very low-income households, as indicated in fields 4, 7 and 10 above. Please note: The number entered in the very low section will not be reduced by the number entered here. Although completion of this field is optional, your input would be greatly appreciated.

14. **Was Project approved using Government Code section 65913.4, subdivision (b) (Streamlined Ministerial Approval Process (SB 35 Streamlining))?** Use the drop-down menu to select one of the following options:
   - “Y” if jurisdiction approved the project application pursuant to the streamlined ministerial approval process (SB 35 Streamlining).
   - “N” for all other situations.

15. **Are these infill units?** To gain a greater understanding of the level of infill housing activity in the state, HCD asks that you clarify if the housing units reported are infill by selecting “Yes” or “No.” Although completion of this field is optional, your input would be greatly appreciated. See Definitions section for “infill housing units”

   **Fields 16 through 18:** Please note, if any units are reported as very-low, low, or moderate income in fields 4, 7 or 10 then information in fields 16, 17 and/or 18 must be completed to demonstrate affordability. In the absence of justification that the unit is affordable to a very-low, low, and moderate income household, the unit must be counted as above-moderate income.

**Fields 16 and 17: Housing with Financial Assistance and/or Deed Restrictions**
For all housing units developed or approved with public financial assistance and/or have recorded affordability deed restriction or covenants, identify funding sources and/or mechanisms that enable units to be affordable.

16. **Assistance Programs Used for Each Development:** Enter information here if units received financial assistance from the city or county and/or other subsidy sources, have affordability restrictions or covenants, and/or recapture of public funds upon resale.

Use the drop-down menu to select the acronym of the applicable funding program(s), as listed below. To select more than one funding source click once then select the cell again and click another source.

- Acq/Rehab: CalHFA Acquisition/Rehab Loan Program
- AHP: Affordable Housing Program - Fed Home Loan
- AHSC: Affordable Housing and Sustainable Communities
- CalHOME: CalHOME
- CDBG: Community Development Block Grant
- CDLAC: CDLAC Bonds (CA Debt Limit Allocation Committee)
- CESH: CA Emergency Solutions & Housing
- ESG: Emergency Solutions Grant
- GSADF: Golden State Acquisition Fund
- HEAP: Homeless Emergency Aid Program
- HHAP: Homeless Housing, Assistance and Prevention Program
- HKEY: Homekey
- HOME: Housing Investment Partnership Program
- HOPWA: Housing Opportunities for Persons with AIDS
17. **Deed Restriction Type:** Enter information here if units in the project are considered affordable to very-low, low, and/or moderate income households due to a local program or policy, such as an inclusionary housing ordinance, regulatory agreement, or a density bonus. This field **should not** be used to enter the number of deed restricted units. Identify the **mechanism** used to restrict occupancy based on affordability to produce “deed restricted” units. Use the drop-down menu to select one of the following options:
- “INC” if the units were approved pursuant to a local inclusionary housing ordinance.
- “DB” if the units were approved using a density bonus.
- “Other” for any other mechanism. Describe the source in notes section number 21.

18. **Housing without Financial Assistance or Deed Restrictions:** Enter information here if the units are affordable to very-low, low and moderate income households without financial assistance and/or deed restrictions. In these cases, affordability must be demonstrated by proposed sales price or rents.

- Sales prices and rents must meet the definition of affordable as defined in Health and Safety Code Section 50052.5 for owner-occupied units or Health and Safety Code section 50053 for renter-occupied units.
  - Describe how the newly constructed rental or ownership housing units were determined to be affordable to very-low, low, and moderate income households without either public subsidies or restrictive covenants. This may be based on various methods considering sales prices or rents relative to the income levels of households such as through a survey of comparable units in the area that show the unit would be affordable to very-low, low, and moderate income households.
  - The jurisdiction can consider comparable rental prices or new sales prices (actual or anticipated). The jurisdiction should consider costs for renters (i.e., 30% of household income for rent and utilities) or owners (e.g., 30% of household income for principal, interest, taxes, insurance and utilities, pursuant to Title 25 CCR)
  - In the absence of justification that the unit is affordable to a very-low, low, and moderate income household, the unit must be counted as above-moderate income.
19. Term of Affordability or Deed Restriction: If units have committed financial assistance and/or are deed restricted, enter the duration of the affordability or deed restriction. If units are affordable in perpetuity, enter 1,000. If multiple funding sources or deed restrictions on the development have different terms of affordability, please enter the longest term of affordability. Although completion of this field is optional, your input would be

20. Demolished/Destroyed Units: This section is to report if the project and associated APN, has a permit, entitlement or certificate of occupancy in the reporting year, and the APN previously had demolished or

- Enter the “Number of Demolished or Destroyed Units” in the reporting calendar year.
- From the drop down menu select “demolished” if the units were torn down. Select “Destroyed” if the units were lost due to fire or other natural disaster.
- From the drop down menu “Demolished/Destroyed Units Owner or Renter” select “R” for renter or “O” for

21. Density bonus detail: The following fields must be completed for at least a sample of density bonus projects reported by the jurisdiction and should only be completed if “DB” is one of the selections

22. Percentage of deed-restricted units: Enter the percentage of deed-restricted units in the project expressed as (percentage of deed-restricted units) / (total number of units) NOTE THAT THIS CALCULATION MIGHT ALSO BE PROBLEMATIC IF THERE ARE DEED-RESTRICTED UNITS MANDATED BY ANOTHER SUBSIDY PROGRAM

23. Number of incentives and other modifications: Enter the total number of other incentives, concessions, waivers, or other modifications given to the project (exclude parking waivers or parking reductions). List the specific incentives, concessions, waivers, or other modifications given to the project using the drop-down menu.

- On-Site Improvements
- Off-Site Improvements
- Development Standards Modification
- Other

24. Reductions or waivers of parking standards:

- Did the project receive a reduction or waiver of parking standards? Answer Yes or No.

25. Notes: Use this field to enter any applicable notes about the project or development.

TABLE B

Regional Housing Needs Allocation Progress – Permitted Units Issued By Affordability

Table B is a summary of prior permitting activity in the current planning cycle, including permitting activity for the calendar year being reported. Please note, the last year of the 5th cycle will only contain units with permit dates that occurred before the end of the cycle. The first year of the 6th cycle will only contain units with permits that occurred on or after the beginning of the cycle. To assist jurisdictions in completing this form, HCD has pre-filled permit data as reported to HCD on prior APRs. Past unit information will auto-populate when the jurisdiction’s name in the general information section of the “Start Here” tab is entered. Current year permitted units will auto-populate from data reported in table A2. If permit activity for current year is inaccurate, jurisdictions should make adjustments on field number 7, Affordability by Household Income – Building Permits
Please contact HCD at APR@hcd.ca.gov if data from previous years does not populate or if different than the information supplied in Table B. Any changes made by localities to previous years’ data in Table B will not update prior APR records maintained by HCD.

Table B reports the number of units for which permits were issued to demonstrate progress in meeting the jurisdiction’s share of regional housing need for the planning period.

1. **Regional Housing Needs Allocation by Income Level**: Lists the jurisdiction’s assigned RHNA for the planning cycle by income group. This field will be auto-populated once the jurisdiction’s name is entered in the “Start
2. **Year**: Lists the building permit data for each year of the RHNA planning cycle beginning in the first year and ending with the data from the current reporting year which can be found in Table A2.

3. **Total Units to Date (all years)**: Totals the number of units permitted in each income category.
4. **Total Remaining RHNA by Income Level**: This field uses the information from the “Total Units to Date” category and deducts the units by income category from the jurisdiction’s assigned RHNA number. Note: The total units remaining to meet the RHNA allocation is in the bottom right hand corner.

### TABLE C

**Sites Identified or Rezoned to Accommodate Shortfall Housing Need**

*Please note: This table should only be filled out when a city or county identified an Unaccommodated Need of sites from the previous planning period Government Code section 65584.09, has Shortfall of Sites as identified in the housing element Government Code section 65583, subdivision (c)(1); or is identifying additional sites required by No Net Loss law pursuant to Government Code section 65863. The data in this inventory serves as an addendum to the housing element sites inventory. This table should not include rezoning for a specific project.*

1. **Project Identifier**: Include the Assessor Parcel Number (APN) and street address. The project name and local jurisdiction tracking ID are optional.
2. **Date of Rezone**: If rezone was required, identify the date the rezone occurred. Enter date as month/day/year (e.g., 6/1/2018).
3. **RHNA Shortfall by Household Income Category**: For each development or site, list the number of units that are affordable to the following income levels (refer to Definitions section for more detail):
   - Very low-income households: 0-50% AMI
   - Low-income households: 50-80% AMI

*Note: rezoning is not required to accommodate moderate or above moderate RHNA shortfall.*

4. **Rezone Type**: From the dropdown list, select one of the following for each project:
   - **No Net Loss** (Government Code section 65863): When a jurisdiction permits or causes its housing element sites inventory site capacity to be insufficient to meet its remaining unmet RHNA for lower and moderate-income households. In general, a jurisdiction must demonstrate sufficient capacity on existing sites or make available adequate sites within 180 days of there being insufficient sites to meet the remaining RHNA.
   - **Unaccommodated Need** (Government Code section 65584.09): When a jurisdiction failed to identify or make adequate sites available in the prior planning period to accommodate its RHNA by income category. Note: When this condition occurred, the housing element in the current planning period in most cases will have a program to make available adequate sites to address the unmet RHNA by income category in the first year of the
   - **Shortfall of Sites** (Government Code section 65583, subdivision (c)(1)): When a jurisdiction does not identify adequate sites to accommodate its RHNA by income category in the current planning period. Note: When this condition occurred, the housing element for the current planning period must have included a program to make available adequate sites to address the unmet RHNA by income category. For jurisdictions on an eight year planning period, the rezones must be complete within the first three years of the planning period.
5. **Parcel Size (Acres)**: Enter the size of the parcel in acres.
6. **General Plan Designation:** Enter the new General Plan Land Use designation. If no change was made, enter the current designation.

7. **Zoning:** Enter the new zoning designation for the parcel. If no change was made, enter the current zoning.

8. **Density Allowed:** Enter the minimum and maximum density allowed on each parcel. This is the density allowed after any zoning amendments are made. If no maximum density enter N/A.

9. **Realistic Capacity:** Enter the estimated realistic unit capacity for each parcel. Refer to Definitions for more information about “Realistic Capacity.”

10. **Vacant/Non-vacant:** From the drop-down list, select if the parcel is vacant or non-vacant. If the parcel is non-vacant, then enter the description of existing uses in Field 11.

11. **Description of Existing Uses:** Include a description of existing uses. Description must be specific (i.e. SFR, MF, surplus school site, operating business, vacant commercial building, parking lot). Classifications of uses (i.e. “commercial”, “retail”, “office”, or “residential”) are not sufficient.

---

**TABLE D**

**Program Implementation Status pursuant to Government Code section 65583**

Report the status/progress of housing element program and policy implementation for **all** programs described in the housing element:

1. **Name of Program:** List the name of the program as described in the element.
2. **Objective:** List the program objective (for example, “Update the accessory dwelling unit ordinance”).
3. **Timeframe in Housing Element:** Enter the date the objective is scheduled to be accomplished.
4. **Status of Program Implementation:** List the action or status of program implementation.

For your information, the following list includes the statutory requirements for housing element programs:

- Adequate sites (Gov. Code, § 65583, subd. (c)(1)). Please note: Where a jurisdiction has included a rezone program pursuant to Government Code section 65583.2, subdivision (h) to address a shortfall of capacity to accommodate its RHNA, Table C must include specific information demonstrating progress in implementation including total acres, brief description of sites, date of rezone, and compliance with by-right approval and density.
- Assist in the development of low- and moderate-income housing (Gov. Code, § 65583, subd. (c)(2)).
- Remove or mitigate constraints (Gov. Code, § 65583, subd. (c)(3)).
- Conserve and improve existing affordable housing (Gov. Code, § 65583, subd. (c)(4)).
- Promote and affirmatively further fair housing opportunities (Gov. Code, § 65583, subd. (c)(5)).
- Preserve units at-risk of conversion from low-income use (Gov. Code, § 65583, subd. (c)(6)).

*Please note: Jurisdictions may add additional rows in Table D to include all Housing Element programs, or to provide clarification or information relevant to demonstrating progress towards meeting RHNA objectives.*

---

**TABLE E**

**Commercial Development Bonus Approved pursuant to Government Code section 65915.7**

Government Code section 65915.7 states:

“(a) When an applicant for approval of a commercial development has entered into an agreement for partnered housing described in subdivision (c) to contribute affordable housing through a joint project or two separate projects encompassing affordable housing, the city, county, or city and county shall grant to the commercial developer a development bonus as prescribed in subdivision (b). Housing shall be constructed on the site of the commercial development or on a site that...” meets several criteria.

If the jurisdiction has approved any commercial development bonuses during the reporting year, enter the following information:
1. **Project Identifier**: Include the parcel’s APN number and street address. The project name and local jurisdiction tracking ID are optional.

2. **Units Constructed as Part of the Agreement**: For each development, list the number of units that are affordable to the following income levels (refer to definitions for more detail):
   - Very low-income households: 0-50% AMI
   - Low-income households: 50-80% AMI
   - Moderate-income households: 80-120% AMI
   - Above-moderate households: above 120%

3. **Description of Commercial Development Bonus**: Include a description of the commercial development bonus approved by the jurisdiction.

4. **Commercial Development Bonus Date Approved**: Enter the date that the jurisdiction approved the commercial development bonus. Enter date as month/day/year (e.g., 6/1/2018).

   **TABLE F**

   **Units Rehabilitated, Preserved and Acquired for Alternative Adequate Sites pursuant to Government Code section 65583.1, subdivision (c)**

   *Please note this table is optional:* The jurisdiction can use this table to report units that have been substantially rehabilitated, converted from non-affordable to affordable by acquisition, and preserved, including mobilehome park preservation, consistent with the standards set forth in Government Code section 65583.1, subdivision (c). Please note, motel, hotel, hostel rooms or other structures that are converted from non-residential to residential units pursuant to Government Code section 65583.1(c)(1)(D) are considered net-new housing units and must be units that do not count toward RHNA: The jurisdiction may list for informational purposes only, units that do not count toward RHNA but were substantially rehabilitated, acquired or preserved. Units that Do Not Count Toward RHNA: To enter units in this table as progress toward RHNA, please contact HCD at APR@hcd.ca.gov. HCD will provide a password to unlock the grey fields.

   In order to count units reported in this table as progress towards RHNA, the jurisdiction will need to provide information that demonstrate the units meet the standards set forth in Government Code section 65583.1, subdivision (c). These program requirements are summarized on the Alternative Adequate Sites Checklist. If HCD finds that the units meet the standards set forth in Government Code section 65583.1, subdivision (c) these units may credit up to 25 percent of the jurisdiction’s adequate sites requirement per income category.

   **Table G**

   **Locally Owned Lands Included in the Housing Element Sites Inventory that have been sold, leased, or otherwise disposed of, pursuant to Government Code section 65400.1**

   Chapter 664, Statutes of 2019 (AB 1486) added to the Government code section 65400.1, which requires jurisdictions to include in this APR a listing of sites owned by the locality that were included in the housing element sites inventory and were sold, leased, or otherwise disposed of during the reporting year. The listing of sites must include the entity to whom the site was transferred, and the intended use of the site.

   **Table H**

   **Locally Owned or Controlled Lands Declared Surplus Pursuant to Government Code section 54221, or Identified as Excess Pursuant to Government Code section 50569**
Chapter 661, Statutes of 2019 (AB 1255) amended Government Code section 54230 to require cities and counties to create an inventory of surplus lands defined in subdivision (b) of Section 54221, and all lands in excess of its foreseeable needs, if any, identified pursuant to Section 50569, located in all urbanized areas and urban clusters, as designated by the United States Census Bureau, within the jurisdiction of the county or city that the county or city or any of its departments, agencies, or authorities owns or controls. Please note: **Jurisdictions are only required to report on property located in an urban area or urbanized cluster.** For a map [https://cahcd.maps.arcgis.com/apps/webappviewer/index.html?id=5a63b04d7c494a6ebb2aa38a2c3576f5](https://cahcd.maps.arcgis.com/apps/webappviewer/index.html?id=5a63b04d7c494a6ebb2aa38a2c3576f5)

Cities and counties must make a description of each parcel described in paragraph (1) of Government Code section 54230 and the present use of the parcel a matter of public record and shall report this information to the Department of Housing and Community Development no later than April 1 of each year, beginning April 1, 2021, in a form prescribed by the department, as part of its annual progress report submitted pursuant to paragraph “Surplus land” means land owned in fee simple by any local agency for which the local agency’s governing body takes formal action in a regular public meeting declaring that the land is surplus and is not necessary for the agency’s use. Land shall be declared either “surplus land” or “exempt surplus land,” as supported by written findings, before a local agency may take any action to dispose of it consistent with an agency’s policies or procedures. A local agency, on an annual basis, may declare multiple parcels as “surplus land” or “exempt surplus land.”

“Surplus land” includes land held in the Community Redevelopment Property Trust Fund pursuant to Section 34191.4 of the Health and Safety Code and land that has been designated in the long-range property management plan approved by the Department of Finance pursuant to Section 34191.5 of the Health and Safety Code. Parcel Description must include the following:

1. **APN:** Enter the parcel number of the identified property.
2. **Street Address/Intersection:** Enter the street address of the property. If no street address is available, enter the
3. **Existing Use:** Enter the existing use of the property. Use the drop-down menu to select one of the following options:
   - Residential
   - Commercial
   - Industrial
   - Public Facilities
   - Vacant
   - Air Rights
   - Other
4. **Number of Units:** If the existing use is residential, enter the number of units on the property.
5. **Surplus Designation:** Please identify if the property has been designated surplus or exempt surplus pursuant to Government Code section 54221, or excess pursuant to Government Code section 50569.
6. **Parcel Size (in acres):** Enter the parcel size in acres.
7. **Notes (Optional):** Please include any applicable notes providing additional property description. This could include description of any characteristics of the property.

### Local Early Action Planning (LEAP) Grant Reporting

Pursuant to Health and Safety Code section 50515.04, recipients of Local Early Action Planning (LEAP) grants shall annually report by April 1 of the year following receipt of those funds on the status of proposed uses in the application. The report shall address the housing impact within the jurisdiction, including a summary of building permits, certificates of occupancy or other completed entitlements. Data sources may include the LEAP application (e.g., Attachment 1: Project Timeline and Budget), re-imbursement requests, other portions of the
1. **Total Award Amount**: Utilizing the LEAP application and award letter, fill in the total award amount for all proposed LEAP activities.

2. **Task**: Utilizing Attachment 1: Project Timeline and Budget from the LEAP application, fill in all project level tasks. Do not fill in sub-tasks. For example, an application might include a project level task to prepare and adopt a downtown specific plan. In this case, simply fill in downtown specific plan and do not fill in sub-tasks such as outreach, traffic studies, drafting and adoption.

3. **$ Amount Awarded**: Utilizing Attachment 1: Project Timeline and Budget from the LEAP application, fill in the total amount awarded for each project level task.

4. **$ Cumulative Reimbursement Requested**: Utilizing reimbursement requests sent to the Department, add up all requested amounts for each project level task. Note, this is reimbursement “requested” and not reimbursement “received”. At the time of reporting, some reimbursement requests may be in process.

5. **Task Status**: Provide a brief description of the status of project level tasks. This description should address recently completed, upcoming milestones, anticipated completion dates and any schedule slippage. In addition, task status may express progress as a percentage of completion (e.g., 50% complete).

6. **Other Funding**: Note any other funding sources by amount being utilized to complete each project level task. If no other funding sources are being utilized, enter N/A. Examples of other funding includes SB 2 planning grants program, SB 1 sustainability planning grants program and local general funds.

7. **Notes**: Enter any other relevant information related to progress and impacts such as reasons for delays, anticipated numerical outcomes, etc.

8. **Summary of Entitlements, Building Permits and Certificates of Occupancy**: These tables will auto-populate from Table A2.
Restricted
Restricted
Restricted
Restricted
Restricted
Restricted
closest known intersection.

ions:
# Frequently Asked Questions

<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
</tr>
</thead>
<tbody>
<tr>
<td>Can I leave a row blank?</td>
<td>Yes, you may leave blank rows in between rows that have information. However, you may not leave more than 10 rows blank.</td>
</tr>
<tr>
<td>How do I delete rows?</td>
<td>Click on a cell in the row(s) and type Ctrl-d. Note: Macros must be enabled</td>
</tr>
<tr>
<td>Why are the rows not summing correctly?</td>
<td>The summary tab and sum rows only include activities that occurred during the reporting year, according to the year of the activity.</td>
</tr>
</tbody>
</table>
| Why are some cells highlighted yellow or green?                         | **Yellow Cells:** Required cells for each row become highlighted yellow once any cell in the row contains a character. These cells are highlighted and required once any lower or moderate income units are entered into the form.  

**Green Cells:** Cells highlighted green are where you indicate the number of units by affordability. This is required for sections of the APR that contain the following:  
- For the applicable sections (completed entitlement, issued building permits, issued certificate of occupancy) of Table A.  
- For the applicable sections (completed entitlement, issued building permits, issued certificate of occupancy) of Table B.  

Once a value is entered into this range, the range will no longer be highlighted green. |
| Why are the date cells highlighted red?                                 | Cells can be highlighted red for two reasons:  

**Date cells:** Sometimes, dates that are copied and pasted into this form are formatted as text. When pasting dates into destination formatting option, if the date cells are still highlighted red, they contain text. These must be converted to dates and paste in the dates that are formatted as text. An adjacent column, enter the function =DATEVALUE and refer to the date. This will result in a 5-digit number. Copy and paste these 5-digit numbers back into the APR form, then change (i.e., 3/4/2012).  

**Text cells:** Cells can also be highlighted red if the length of the text entered into the cell exceeds the character limit. |
| Can the same project be included in both Table A and Table A2?          | Yes. Table A tracks all applications for residential development that were received and deemed complete during the reporting year. Any and received entitlements, building permits, and/or certificates of occupancy during the reporting year, that project is included in Table B. |
| What if I have nothing to report?                                       | At minimum, the "Start Here" tab and Table D must be completed. If you have nothing to report in any of the other tables, tab to the "Empty" tab. |
| How do I correct or update the values in Table B?                      | Table B contains data that has been received from prior APR submittals as of October 6, 2020. If the numbers do not match, you need to update the data. |
| Do I need to take the form to my Council or Board prior to submitting the APR? | Government Code section 65400 requires the planning agency to provide this report to the legislative body (i.e., local government) of each year. The statute does not specify in which order they be provided, and HCD does not require the report to be submitted in order. |
| Can I use this form for a prior year?                                  | You can use this form for 2018 - 2020. Make sure to change the reporting year in row 5 of the "Start Here" tab. Table B LEAP are not required for 2018-2019. For the years 2017 and prior, you must use the old version of the APR. Please contact HCD at ap@hcd.ca.gov to obtain the old version of the APR. |
| Does submitting the Housing Element APR fulfill the requirements of submitting a General Plan APR? | No. Government Code section 65400 requires jurisdictions to also submit a General Plan Annual Progress Report to the California Housing Partnership at apr@hcd.ca.gov and AP@hcd.ca.gov |
# Please Start Here

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<th>General Information</th>
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<tr>
<td>Last Name</td>
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</tr>
<tr>
<td>Title</td>
<td>Associate Planner</td>
</tr>
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<td>Email</td>
<td><a href="mailto:klapira@cityofberkeley.info">klapira@cityofberkeley.info</a></td>
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<tr>
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Optional: Click here to import last year's data. This is best used when the workbook is new and empty. You will be prompted to pick an old workbook to import from. Project and program data will be copied exactly how it was entered in last year's form and must be updated. If a project is no longer has any reportable activity, you may delete the project by selecting a cell in the row and typing ctrl + d.
Submittal Instructions

Please save your file as Jurisdictionname2021 (no spaces). Example: the city of San Luis Obispo would save their file as SanLuisObispo2021.

Housing Element Annual Progress Reports (APRs) forms and tables must be submitted to HCD and the Governor’s Office of Planning and Research (OPR) on or before April 1 of each year for the prior calendar year; submit separate reports directly to both HCD and OPR pursuant to Government Code section 65400. There are two options for submitting APRs:

1. Online Annual Progress Reporting System - Please see the link to the online system to the left. This allows you to upload the completed APR form into directly into HCD’s database limiting the risk of errors. If you would like to use the online system, email APR@hcd.ca.gov and HCD will send you the login information for your jurisdiction. Please note: Using the online system only provides the information to HCD. The APR must still be submitted to OPR. Their email address is opr.apr@opr.ca.gov.

2. Email - If you prefer to submit via email, you can complete the excel Annual Progress Report forms and submit to HCD at APR@hcd.ca.gov and to OPR at opr.apr@opr.ca.gov. Please send the Excel workbook, not a scanned or PDF copy of the tables.

Link to the online system: https://apr.hcd.ca.gov/APR/login.do

Optional: This runs a macro which checks to ensure all required fields are filled out. The macro will create two files saved in the same directory this APR file is saved in. One file will be a copy of the APR with highlighted cells which require information. The other file will be list of the problematic cells, along with a description of the nature of the error.

Optional: Save before running. This copies data on Table A2, and creates another workbook with the table split across 4 tabs, each of which can fit onto a single page for easier printing. Running this macro will remove the comments on the column headers, which contain the instructions. Do not save the APR file after running in order to preserve comments once it is reopened.

Optional: This macro identifies dates entered that occurred outside of the reporting year. RHNA credit is only given for building permits issued during the reporting year.

Link to the online system: https://apr.hcd.ca.gov/APR/login.do
### Table A: Housing Development Applications Submitted

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<th>Project Identifier</th>
<th>Unit Types</th>
<th>Date Application Submitted</th>
<th>Proposed Units - Affordability by Household Incomes</th>
<th>Total Approved Units by Project</th>
<th>Total Disapproved Units by Project</th>
<th>Streamlining</th>
<th>Density Bonus</th>
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<td>Moderate-Income Non Deed Restricted</td>
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<td>Total PROPOSED Units by Project</td>
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#### Notes:
- "*" indicates an optional field
- Cells in grey contain auto-calculation formulas

#### Instructions:
- (see instructions)

#### Definitions:
- **Very Low-Income Deed Restricted**: Very Low-Income units with deed restriction
- **Very Low-Income Non Deed**: Very Low-Income units without deed restriction
- **Low-Income Deed Restricted**: Low-Income units with deed restriction
- **Low-Income Non Deed**: Low-Income units without deed restriction
- **Moderate-Income Deed Restricted**: Moderate-Income units with deed restriction
- **Moderate-Income Non Deed**: Moderate-Income units without deed restriction
- **Above Moderate-Income**: Units above Moderate-Income

#### Key:
- **R**: Renter
- **O**: Owner

#### References:
- CCR Title 25 §6202
- SB 35 Streamlining
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<th>Date of Permit</th>
<th>Completion Date</th>
<th>Incentives, Concessions, Waivers, and/or Deed Restriction Notes</th>
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Cells in grey contain auto-calculation formulas.
# ANNUAL ELEMENT PROGRESS REPORT

**Reporting Year:** 2021 (Jan. 1 - Dec. 31)

**Planning Period:** 5th Cycle 01/31/2015 - 01/31/2023

### Housing Element Implementation

| No. | Location | Status | Action | Date
|-----|----------|--------|--------|------
| 057 | SACRAMENTO ADU R | R | 0 | 03/02/2021
| 054 | CARLETON ADU R | R | 0 | 07/14/2020
| 058 | GRANT ADU R | R | 0 | 11/23/2021

**Note:** "+" indicates an optional field

(CCR Title 25 §6202)
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### Table B

**Regional Housing Needs Allocation Progress**

**Permitted Units Issued by Affordability**

<table>
<thead>
<tr>
<th>Income Level</th>
<th>RHNA Allocation by Income Level</th>
<th>2015</th>
<th>2016</th>
<th>2017</th>
<th>2018</th>
<th>2019</th>
<th>2020</th>
<th>2021</th>
<th>2022</th>
<th>2023</th>
<th>Total Units to Date (all years)</th>
<th>Total Remaining RHNA by Income Level</th>
</tr>
</thead>
<tbody>
<tr>
<td>Very Low</td>
<td>Deed Restricted</td>
<td>70</td>
<td>21</td>
<td>59</td>
<td>11</td>
<td>33</td>
<td>91</td>
<td>24</td>
<td>-</td>
<td>-</td>
<td>309</td>
<td>223</td>
</tr>
<tr>
<td></td>
<td>Non-Deed Restricted</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td></td>
</tr>
<tr>
<td>Low</td>
<td>Deed Restricted</td>
<td>442</td>
<td>25</td>
<td>3</td>
<td>-</td>
<td>-</td>
<td>101</td>
<td>1</td>
<td>-</td>
<td>-</td>
<td>130</td>
<td>312</td>
</tr>
<tr>
<td></td>
<td>Non-Deed Restricted</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
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</tr>
<tr>
<td>Moderate</td>
<td>Deed Restricted</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>106</td>
<td>476</td>
</tr>
<tr>
<td></td>
<td>Non-Deed Restricted</td>
<td>504</td>
<td>1</td>
<td>90</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
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</tr>
<tr>
<td>Above Moderate</td>
<td>Deed Restricted</td>
<td>1,401</td>
<td>392</td>
<td>163</td>
<td>531</td>
<td>332</td>
<td>601</td>
<td>599</td>
<td>619</td>
<td>-</td>
<td>3,742</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Non-Deed Restricted</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
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<td></td>
</tr>
<tr>
<td>Total RHNA</td>
<td></td>
<td>2,955</td>
<td>488</td>
<td>294</td>
<td>593</td>
<td>343</td>
<td>634</td>
<td>751</td>
<td>659</td>
<td>-</td>
<td>3,742</td>
<td>1,013</td>
</tr>
</tbody>
</table>

Note: units serving extremely low-income households are included in the very low-income permitted units totals and must be reported as very low-income units.

Please contact HCD if your data is different than the material supplied here.

---

**Please note:** For the last year of the 5th cycle, Table B will only include units that were permitted during the portion of the year that was in the 5th cycle. For the first year of the 6th cycle, Table B will include units that were permitted since the start of the planning period.

**Please note:** The APR form can only display data for one planning period. To view progress for a different planning period, you may login to HCD's online APR system, or contact HCD staff at apr@hcd.ca.gov.
### Table C

<table>
<thead>
<tr>
<th>Project Identifier</th>
<th>Date of Rezone</th>
<th>RHNA Shortfall by Household Income Category</th>
<th>Rezone Type</th>
<th>Sites Description</th>
</tr>
</thead>
</table>

**Summary Row:** Start Data Entry Below

**Note:** Cells in grey contain auto-calculation formulas

**APN**

**Street Address**

**Project Name**

**Local Jurisdiction Tracking ID**

**Date of Rezone**

**Rezone Type**

**Parcel Size (Acre)**

**General Plan Designation**

**Zoning**

**Minimum Density Allowed**

**Maximum Density Allowed**

**Realistic Capacity**

**Vacant/Nonvacant**

**Description of Existing Uses**

**Note:** + indicates an optional field.
### ANNUAL ELEMENT PROGRESS REPORT

#### Housing Element Implementation

(CCR Title 25 §6202)

<table>
<thead>
<tr>
<th>Jurisdiction</th>
<th>Berkeley</th>
</tr>
</thead>
<tbody>
<tr>
<td>Reporting Year</td>
<td>2021 (Jan. 1 - Dec. 31)</td>
</tr>
</tbody>
</table>

#### Table D

**Program Implementation Status pursuant to GC Section 65583**

Describe progress of all programs including local efforts to remove governmental constraints to the maintenance, improvement, and development of housing as identified in the housing element.

<table>
<thead>
<tr>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
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</thead>
<tbody>
<tr>
<td><strong>Name of Program</strong></td>
<td><strong>Objective</strong></td>
<td><strong>Timeframe in H.E</strong></td>
<td><strong>Status of Program Implementation</strong></td>
</tr>
<tr>
<td>Berkeley Housing Authority</td>
<td>Provided housing assistance for low-income residents</td>
<td>ongoing</td>
<td>The BHA provides housing assistance to residents. BHA provides rental assistance to a total of 1,939 units through the Section 8 and Moderate Rehabilitation Program.</td>
</tr>
<tr>
<td>Seismic Preparedness Programs</td>
<td>Improve the safety of housing through seismic retrofits.</td>
<td>ongoing</td>
<td>At the end of 2021, for the soft story program, 262 retrofits have been completed and 38 retrofits are still required by our Mandatory Retrofit Ordinance. Through the Retrofit Grants program, nearly $2 million in grant funding has been provided to Berkeley property owners, including 48 design grants and 58 construction grants. Of 593 URM properties identified, 4 URM buildings remain to be retrofitted. During 2021, 54 homes in Berkeley completed voluntary seismic retrofits and received rebates through the Earthquake Brace and Bolt program.</td>
</tr>
<tr>
<td>Boards and Commissions</td>
<td>Facilitate citizen input in City decisions</td>
<td>ongoing</td>
<td>The City held over 100 public meetings in 2021 on topics such as HTF, development projects, affordable housing and zoning ordinance amendments.</td>
</tr>
<tr>
<td>Fair Housing Assistance, Outreach and Education and programs addressing impediments to Fair Housing</td>
<td>Provide fair housing services and education to mitigate impediments to fair housing.</td>
<td>ongoing</td>
<td>In 2021, 77 clients were served by ECHO. All received fair housing counseling, 45 issues were investigated, and 1 clients’ rights were protected, restored or acquired. Additionally, 10 fair housing tests were conducted, and no violations were found. 8 educational workshops for landlords/property managers were conducted and community-based organizations reaching 184 residents.</td>
</tr>
<tr>
<td>Addressing Impediments to Fair Housing</td>
<td>Maintain the diversity of Berkeley’s population</td>
<td>2021</td>
<td>In 2021, the City funded programs serving people with disabilities at $1,649,951 and programs for seniors at $9,110.</td>
</tr>
<tr>
<td>Home Modifications for Accessibility and Safety (Rebuilding Together and CIL)</td>
<td>Provide home modification for accessibility.</td>
<td>ongoing</td>
<td>In 2021, COVID-19 had an impact on small construction sites which resulted in fewer rehabilitation and ADA improved accessibility repair projects being completed. Since these rehabilitation programs primarily serve high-risk populations (e.g. seniors/disabled), the agencies had to develop infection prevention protocols and adhere to the small construction site COVID-19 protocols which caused a delay in fully completing projects. In CY2021, Habitat for Humanity completed six home rehabilitation repairs, Rebuilding Together completed 17 home rehabilitation repairs, and CIL completed 8 ADA improved accessibility repairs projects. SDRLP completed one home rehabilitation project.</td>
</tr>
<tr>
<td>HHSP: EveryOne Home Plan</td>
<td>Implement the EveryOne Home Plan</td>
<td>ongoing</td>
<td>In 2021, the City continued to participate in the Everyone Home Leadership Board. In 2019, Berkeley became the first jurisdiction in Alameda County to adopt the 2018 Strategic Update to the EveryOne Home Plan.</td>
</tr>
<tr>
<td>HHSP: Community Agency Contracting</td>
<td>Provide support services to homeless individuals and families.</td>
<td>ongoing</td>
<td></td>
</tr>
<tr>
<td>HHSP: Homeless Housing Locations</td>
<td>Provide emergency shelter, transitional housing and permanent supportive housing programs</td>
<td>ongoing</td>
<td>As of 2021, the City increased its shelter portfolio and provided 334 (175)* year-round shelter beds, 28 (19)* seasonal shelter beds, 5 (3)* family transitional housing beds, 12 (6)* TAY transitional housing beds, 506 permanent supportive housing units, including 277 permanent supportive housing units through HUD Shelter Plus Care grants, 15 additional Square One (City of Berkeley General Fund) units, 164 site-based units, and 60 HUD Mainstream vouchers for Non-Elderly and Disabled (NED) individuals. *Numbers in parentheses are teh reduced number of beds in 2021 due to COVID-19 pandemic</td>
</tr>
<tr>
<td>HHSP: Centralized bed reservation system</td>
<td>Reduce nightly vacancies in shelters with reservations.</td>
<td>ongoing</td>
<td>Berkeley continues to have a centralized reservation system to fill unfilled shelter beds resulting in a very low nightly vacancy rate.</td>
</tr>
<tr>
<td>HHSP: Priority Home Partnership (PHP) Program</td>
<td>Provide a county-wide prevention and rapid rehousing program.</td>
<td>ongoing</td>
<td>In 2021, 155 households were served with rapid rehousing financial assistance.</td>
</tr>
<tr>
<td>HHSP: Shelter Plus Care</td>
<td>Provide supportive housing for homeless households.</td>
<td>ongoing</td>
<td>In 2021, the City provided Shelter+Care vouchers to 290 new households to access permanent housing.</td>
</tr>
<tr>
<td>Housing Trust Fund</td>
<td>Develop and preserve long-term BMR housing.</td>
<td>ongoing</td>
<td>In 2021, the city executed contracts for $339.5M in development funds for two new construction affordable housing developments, $2.1M for one acquisition and rehabilitation affordable development, $1.8M for predevelopment of housing. The 2015 Housing Element identified only one project at higher risk of conversion, Rosewood Manor. That development is still owned by a mission-oriented nonprofit organization and managed by an expert nonprofit property manager, with no indication of intent to convert.</td>
</tr>
<tr>
<td>Preserving Units at Risk of Conversion to Market Rate</td>
<td>Preserve affordable housing units at risk of converting to market rate.</td>
<td>ongoing</td>
<td></td>
</tr>
<tr>
<td>Program</td>
<td>Objective</td>
<td>Status</td>
<td>Notes</td>
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<tr>
<td>Senior and Disabled Home Improvement Loan Program</td>
<td>Assist senior and disabled HHs preserve their housing.</td>
<td>ongoing</td>
<td>In 2021, one loan was issued to rehabilitate and preserve housing via the City’s Senior and Disabled loan program.</td>
</tr>
<tr>
<td>HHSP: City’s Housing Retention Program and ARRA Funding for HPRP</td>
<td>Provide housing retention support to prevent homelessness.</td>
<td>ongoing</td>
<td>In 2021, 307 households were provided financial assistance to prevent an eviction.</td>
</tr>
<tr>
<td>HHSP: Reverse Mortgagee Counseling</td>
<td>Assist low-income elderly homeowners access home equity</td>
<td>Discontinued</td>
<td>The City no longer contracts with ECHO to provide reverse mortgage counseling.</td>
</tr>
<tr>
<td>Redevelopment Agency Tax increment Set-Aside Funds for Housing Activity</td>
<td>Fund affordable housing through tax increment set-asides funds.</td>
<td>to 2020</td>
<td>The 2011 Budget Act approved the dissolution of the state RA. In January of 2012 the City elected to serve as the Successor Agency to the RA with an oversight board.</td>
</tr>
<tr>
<td>Tool Lending Library</td>
<td>Assist Berkeley residents with the preservation of the City’s housing stock.</td>
<td>ongoing</td>
<td>The new TTL branch opened in May 2013 with more space for an increased tool inventory.</td>
</tr>
<tr>
<td>Energy Conservation Opportunities and Programs</td>
<td>Promote energy efficiency in new and existing construction.</td>
<td>ongoing</td>
<td>Energy conservation is discussed in the 2023-2031 Housing Element as a resource. However, rebate programs by outside agencies are not included in the Housing Element as a City housing program.</td>
</tr>
<tr>
<td>Housing Mitigation Fees for Non-residential development</td>
<td>Compensate increased demand for housing from new development</td>
<td>ongoing</td>
<td>The City continues to apply this fee to major commercial development projects, of which there are few. Fee is payable in installments over time, so revenue amounts are modest. Roughly $400K is expected to come due in next 12-24 months.</td>
</tr>
<tr>
<td>Second Units (Accessory Dwelling Units)</td>
<td>Increase the supply of housing through second dwelling units.</td>
<td>ongoing</td>
<td>In 2012 the State amended ADU State law, making it easier to establish an ADU on a lot with an existing or proposed residential dwelling. In December of 2019 the City adopted an ADU Urgency Ordinance precluding the development of ADUs on lots that front a street with less than 26 feet in width in the Fire 2 and 3 zones and the ES-R zoning district. Since the urgency ordinance expired in December 2020, the City now.</td>
</tr>
<tr>
<td>Demolition Controls and Unit Replacement Requirement</td>
<td>Maintain the number of housing units in Berkeley.</td>
<td>ongoing</td>
<td>Amendments to the Demolition Ordinance were actively considered by the 4x4 Committee (which includes members of City Council and the Rent Stabilization Board) in 2020 and continue to be worked on in 2021. Changes in State Law (SB 330) and State Case Law have added to the complexity of this project.</td>
</tr>
<tr>
<td>Inclusionary Housing/State Density Bonus</td>
<td>Increase the supply of housing affordable to lower-income HHs</td>
<td>ongoing</td>
<td>In 2021, the following project milestones were met for Density Bonus projects: 4 entitlements, 4 projects issued building permits, and 1 projects completed.</td>
</tr>
<tr>
<td>Mitigating Governmental Constraints</td>
<td>Reduce governmental constraints on production of new housing.</td>
<td>ongoing</td>
<td>The planning department continued public outreach efforts, interdepartmental roundtable, and expedited project reviews in 2021. Possible constraints continue to be reviewed.</td>
</tr>
</tbody>
</table>
### Permit Fee Deferrals for Affordable Housing
Promote affordable housing by allowing fee deferrals.  
**ongoing**  
In 2020 the City requested the North Berkeley BART Station be classified as a new PDA and has been working with the community on new development standards that comply with AB 2023. The City applied for grant funding to begin work on the San Pablo Avenue PDA. The City continues to work on the Southside Zoning Modifications project that will allow for more density near campus for student housings.

### Project Review Outreach Efforts
Actively solicit input from Berkeley residents on proposed projects.  
**ongoing**  
Information about all major projects continued to be provided at projects sites.

### Reasonable Accommodation Ordinance
Process reasonable accommodation requests efficiently.  
**ongoing**  
Reasonable accommodations continue to be available. Planning reviews and approves Administrative Use Permits under RAR upon request. Two RAR AUPs were approved in 2021.

### Problem Properties Task Force (Team)
Address safety concerns at vacant/blighted properties.  
**ongoing**  
The City continues to activate the PPTF on an as-needed basis for properties with safety concerns.

### HHSP: Relocation Services
Provide tenants and owner relocation counseling.  
**ongoing**  
In 2021, 66 tenants, 9 property managers, 10 property owners, and 10 others received assistance.

### Rent Stabilization and Tenant Protections
Rent stabilization and good cause for eviction for Berkeley tenants.  
**ongoing**  
In 2021, the Rent Board continued educational counseling and support for landlords and tenants.

### Housing code compliance and the Rental Housing Safety Program (RHSP)
Maintain safe housing stock.  
**ongoing**  
In 2021, Housing Code Enforcement/the Rental Housing Safety Program opened 174 new reactive (complaint driven) and 646 proactive cases, for a total of 820 new cases.